

# Positions and Subformulas

- ▶ **Position** is any sequence of positive integers  $a_1, \dots, a_n$ , where  $n \geq 0$ , written as  $a_1.a_2.\dots.a_n$ .
- ▶ **Empty position**, denoted by  $\epsilon$ : when  $n = 0$ .
- ▶ **Position  $\pi$  in a formula  $A$ , subformula at position, denoted  $A|_\pi$ .**

1. For every formula  $A$ ,  $\epsilon$  is a position in  $A$  and  $A|_\epsilon \stackrel{\text{def}}{\Leftrightarrow} A$ .

2. Let  $A|_\pi = B$ .

1. If  $B$  has the form

$(\phi \rightarrow \psi)$  then  $A$  has the form  $(\phi \rightarrow \psi)$  and  $A|_\pi \stackrel{\text{def}}{\Leftrightarrow} B$ .

2. If  $B$  has the form  $\neg \phi$  then  $\pi$  is a position in  $\phi$ ,  $A|_\pi \stackrel{\text{def}}{\Leftrightarrow} B$ .

3. If  $B$  has the form  $\phi \wedge \psi$  then  $\pi$  is 1 and  $\pi'2$  are positions in  $A$  and

we have  $A|_{\pi,1} \stackrel{\text{def}}{\Leftrightarrow} B$ ,  $A|_{\pi,2} \stackrel{\text{def}}{\Leftrightarrow} B$ .

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If  $A|_\pi = B$ , we also say that  $B$  occurs in  $A$  at the position  $\pi$ .

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1. For every formula  $A$ ,  $\epsilon$  is a position in  $A$  and  $A|_\epsilon \stackrel{\text{def}}{=} A$ .

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2.1 If  $B$  has the form  $B_1 \wedge A_1 \vee A_2 \vee B_2$  or  $B_1 \vee A_1 \wedge A_2 \vee B_2$ , then for all  $i \in \{1, \dots, n\}$  the position  $\pi.i$  is a position in  $A$ ,  $A|_{\pi.i} \stackrel{\text{def}}{=} B_i$ .

2.2 If  $B$  has the form  $\neg B_1$ , then  $\pi.1$  is a position in  $A$ ,  $A|_{\pi.1} \stackrel{\text{def}}{=} B_1$ .

2.3 If  $B$  has the form  $B_1 \rightarrow B_2$ , then  $\pi.1$  and  $\pi.2$  are positions in  $A$  and we have  $A|_{\pi.1} \stackrel{\text{def}}{=} B_1$ ,  $A|_{\pi.2} \stackrel{\text{def}}{=} B_2$ .

2.4 If  $B$  has the form  $\exists x B_1$  or  $\forall x B_1$ , then  $\pi.1$  and  $\pi.2$  are positions in  $A$  and  $A|_{\pi.1} \stackrel{\text{def}}{=} B_1$ .

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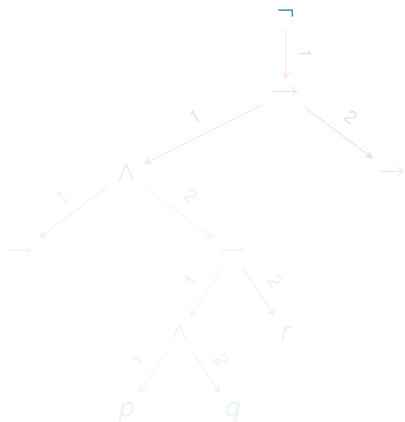
# Positions

Let us try to find the position of the selected subformula  $p$  in the formula  $A \stackrel{\text{def}}{=} \neg((p \rightarrow q) \wedge (p \wedge q \rightarrow r) \rightarrow (p \rightarrow r))$ .



# Positions

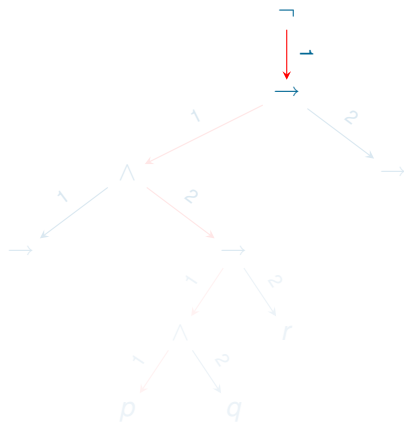
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$$A|_\epsilon = \neg((p \rightarrow q) \wedge (p \wedge q \rightarrow r) \rightarrow (p \rightarrow r)).$$

# Positions

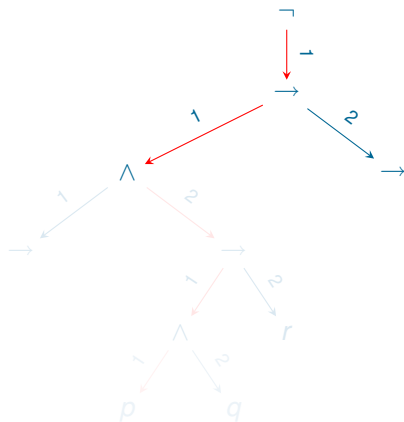
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$$A|_1 = (p \rightarrow q) \wedge (p \wedge q \rightarrow r) \rightarrow (p \rightarrow r).$$

# Positions

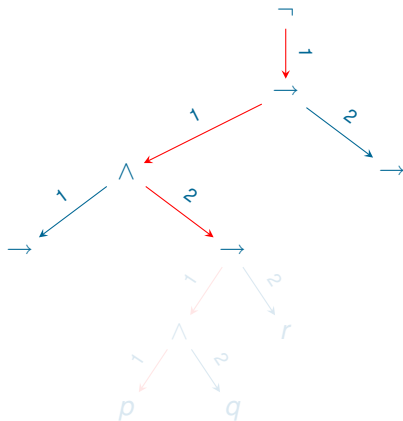
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$$A|_{11} = (p \rightarrow q) \wedge (p \wedge q \rightarrow r).$$

# Positions

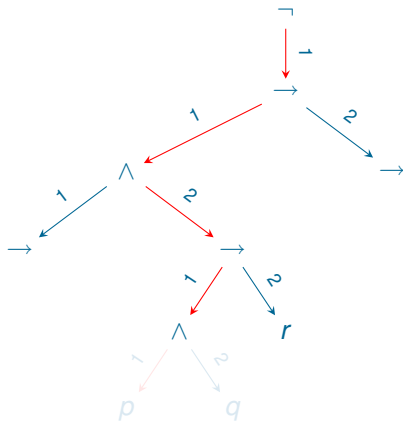
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$$A|_{112} = p \wedge q \rightarrow r.$$

# Positions

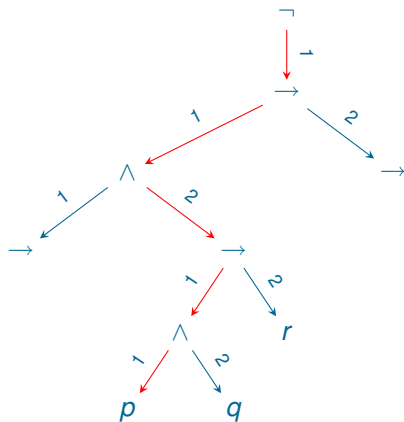
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$$A|_{1121} = p \wedge q.$$

# Positions

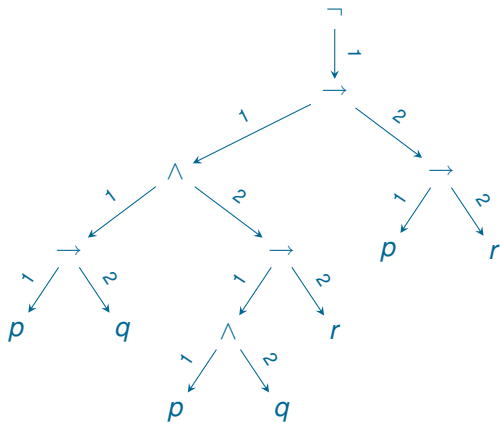
Let us try to find the position of the selected subformula  $p$  in the formula  $A \stackrel{\text{def}}{=} \neg((p \rightarrow q) \wedge (p \wedge q \rightarrow r) \rightarrow (p \rightarrow r))$ .



$$A|_{11211} = p.$$

# All positions in this formula

$$A \stackrel{\text{def}}{\Leftrightarrow} \neg((p \rightarrow q) \wedge (p \wedge q \rightarrow r) \rightarrow (p \rightarrow r)).$$



# Polarity

Polarity of subformula at a position. Notation:  $pol(A, \pi)$ .

1. For every formula  $A$ ,  $\epsilon$  is a position in  $A$ ,  $A|_\epsilon \stackrel{\text{def}}{\Leftrightarrow} A$  and  $pol(A, \epsilon) \stackrel{\text{def}}{\Leftrightarrow} 1$ ;
  2. Let  $A|_\pi = B$ .
    - 2.1 If  $B$  has the form  $B_1 \wedge \dots \wedge B_n$  or  $B_1 \vee \dots \vee B_n$ , then for all  $i \in \{1, \dots, n\}$  the position  $\pi.i$  is a position in  $A$ ,  $A|_{\pi.i} \stackrel{\text{def}}{\Leftrightarrow} B_i$  and  $pol(A, \pi.i) \stackrel{\text{def}}{\Leftrightarrow} pol(A, \pi)$ .
    - 2.2 If  $B$  has the form  $\neg B_1$ , then  $\pi.1$  is a position in  $A$ ,  $A|_{\pi.1} \stackrel{\text{def}}{\Leftrightarrow} B_1$  and  $pol(A, \pi.1) \stackrel{\text{def}}{\Leftrightarrow} -pol(A, \pi)$ .
    - 2.3 If  $B$  has the form  $B_1 \rightarrow B_2$ , then  $\pi.1$  and  $\pi.2$  are positions in  $A$  and we have  $A|_{\pi.1} \stackrel{\text{def}}{\Leftrightarrow} B_1$ ,  $A|_{\pi.2} \stackrel{\text{def}}{\Leftrightarrow} B_2$ ,  $pol(A, \pi.1) \stackrel{\text{def}}{\Leftrightarrow} -pol(A, \pi)$ ,  $pol(A, \pi.2) \stackrel{\text{def}}{\Leftrightarrow} pol(A, \pi)$ .
    - 2.4 If  $B$  has the form  $B_1 \leftrightarrow B_2$ , then  $\pi.1$  and  $\pi.2$  are positions in  $A$  and  $A|_{\pi.i} \stackrel{\text{def}}{\Leftrightarrow} B_i$  and  $pol(A, \pi.i) \stackrel{\text{def}}{\Leftrightarrow} 0$  for  $i = 1, 2$ .
- ▶ If  $pol(A, \pi) = 1$  and  $A|_\pi = B$ , then we call the occurrence of  $B$  at the position  $\pi$  in  $A$  **positive**.
  - ▶ If  $pol(A, \pi) = -1$  and  $A|_\pi = B$ , then we call the occurrence of  $B$  at the position  $\pi$  in  $A$  **negative**.

# Polarity

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  2. Let  $A|_{\pi} = B$ .
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    - 2.3 If  $B$  has the form  $B_1 \rightarrow B_2$ , then  $\pi.1$  and  $\pi.2$  are positions in  $A$  and we have  $A|_{\pi.1} \stackrel{\text{def}}{\Leftrightarrow} B_1$ ,  $A|_{\pi.2} \stackrel{\text{def}}{\Leftrightarrow} B_2$ ,  $pol(A, \pi.1) \stackrel{\text{def}}{\Leftrightarrow} -pol(A, \pi)$ ,  $pol(A, \pi.2) \stackrel{\text{def}}{\Leftrightarrow} pol(A, \pi)$ .
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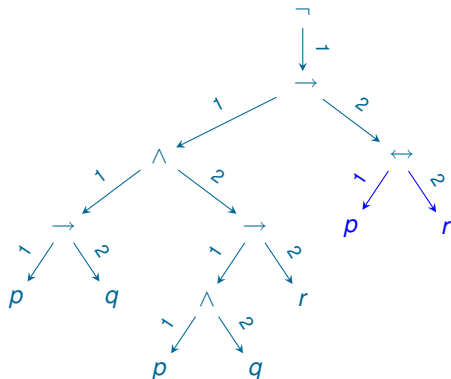
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  2. Let  $A|_{\pi} = B$ .
    - 2.1 If  $B$  has the form  $B_1 \wedge \dots \wedge B_n$  or  $B_1 \vee \dots \vee B_n$ , then for all  $i \in \{1, \dots, n\}$  the position  $\pi.i$  is a position in  $A$ ,  $A|_{\pi.i} \stackrel{\text{def}}{\Leftrightarrow} B_i$ , and  $pol(A, \pi.i) \stackrel{\text{def}}{\Leftrightarrow} pol(A, \pi)$ .
    - 2.2 If  $B$  has the form  $\neg B_1$ , then  $\pi.1$  is a position in  $A$ ,  $A|_{\pi.1} \stackrel{\text{def}}{\Leftrightarrow} B_1$  and  $pol(A, \pi.1) \stackrel{\text{def}}{\Leftrightarrow} -pol(A, \pi)$ .
    - 2.3 If  $B$  has the form  $B_1 \rightarrow B_2$ , then  $\pi.1$  and  $\pi.2$  are positions in  $A$  and we have  $A|_{\pi.1} \stackrel{\text{def}}{\Leftrightarrow} B_1$ ,  $A|_{\pi.2} \stackrel{\text{def}}{\Leftrightarrow} B_2$ ,  $pol(A, \pi.1) \stackrel{\text{def}}{\Leftrightarrow} -pol(A, \pi)$ ,  $pol(A, \pi.2) \stackrel{\text{def}}{\Leftrightarrow} pol(A, \pi)$ .
    - 2.4 If  $B$  has the form  $B_1 \leftrightarrow B_2$ , then  $\pi.1$  and  $\pi.2$  are positions in  $A$  and  $A|_{\pi.i} \stackrel{\text{def}}{\Leftrightarrow} B_i$  and  $pol(A, \pi.i) \stackrel{\text{def}}{\Leftrightarrow} 0$  for  $i = 1, 2$ .
- ▶ If  $pol(A, \pi) = 1$  and  $A|_{\pi} = B$ , then we call the occurrence of  $B$  at the position  $\pi$  in  $A$  **positive**.
  - ▶ If  $pol(A, \pi) = -1$  and  $A|_{\pi} = B$ , then we call the occurrence of  $B$  at the position  $\pi$  in  $A$  **negative**.

# The coloring algorithm for determining polarity

$$\neg((p \rightarrow q) \wedge (p \wedge q \rightarrow r) \rightarrow (p \leftrightarrow (r \rightarrow q))).$$

- ▶ Color in **blue** all arcs below an equivalence.
- ▶ Color in **red** all arcs going down from a negation or left-hand side of an implication.

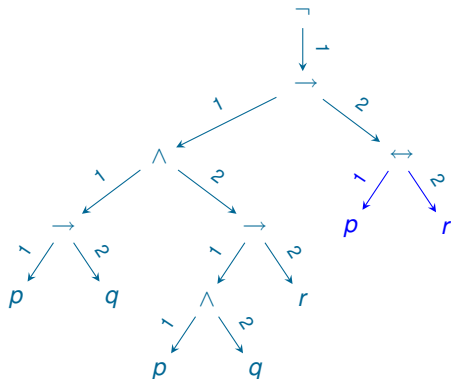


- ▶ If a position has at least one blue arc above it, its polarity is 0.
- ▶ Otherwise, its polarity is  $-1$  if it has an odd number of red arcs above it.

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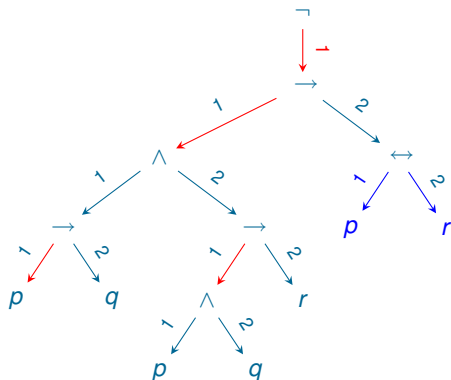


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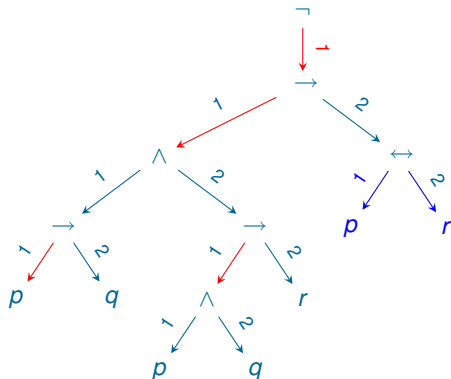


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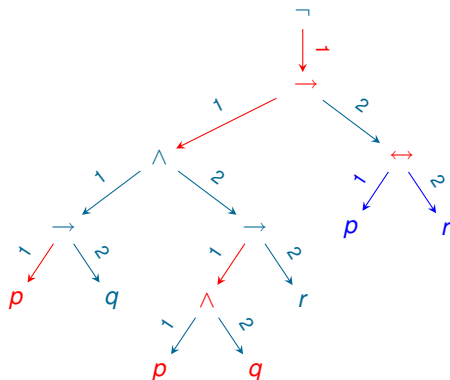


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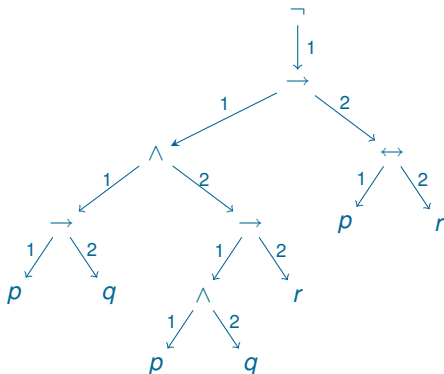


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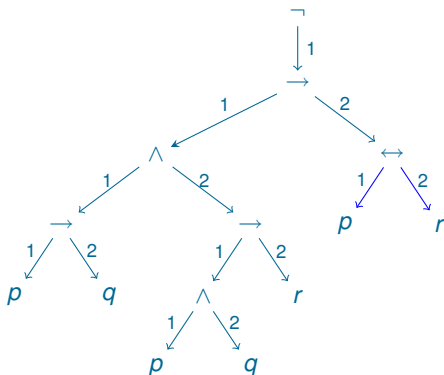


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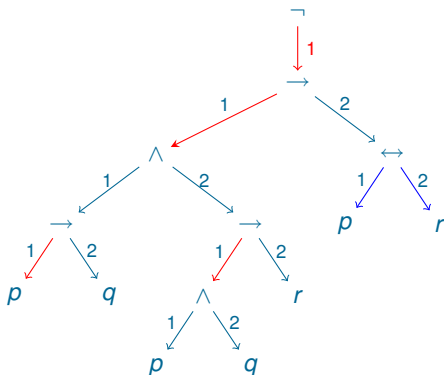


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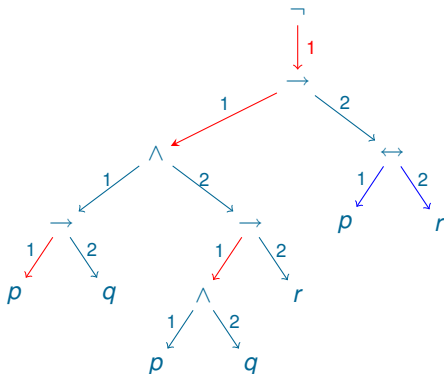


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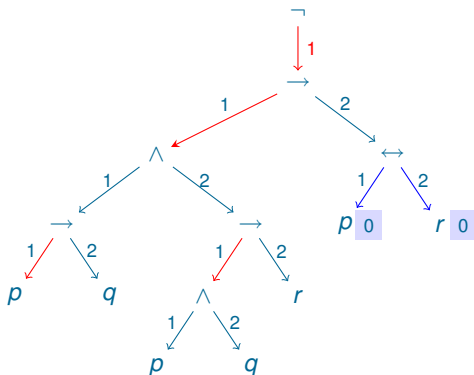


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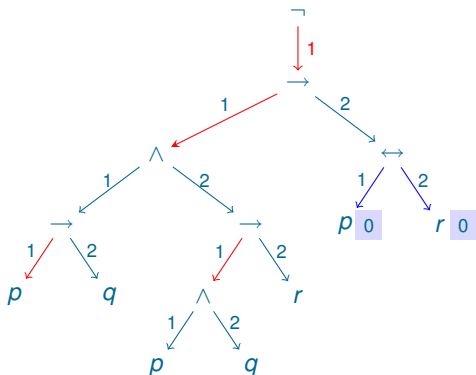


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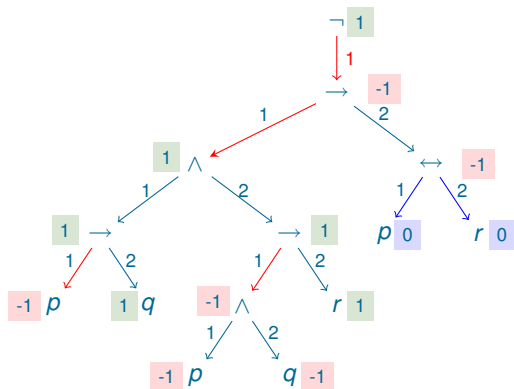


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# Position and polarity, again

position	subformula	polarity
$\epsilon$	$\neg((p \rightarrow q) \wedge (p \wedge q \rightarrow r) \rightarrow (p \rightarrow r))$	1
1	$(p \rightarrow q) \wedge (p \wedge q \rightarrow r) \rightarrow (p \rightarrow r)$	-1
1.1	$(p \rightarrow q) \wedge (p \wedge q \rightarrow r)$	1
1.1.1	$p \rightarrow q$	1
1.1.1.1	$p$	-1
1.1.1.2	$q$	1
1.1.2	$p \wedge q \rightarrow r$	1
1.1.2.1	$p \wedge q$	-1
1.1.2.1.1	$p$	-1
1.1.2.1.2	$q$	-1
1.1.2.2	$r$	1
1.2	$p \rightarrow r$	-1
1.2.1	$p$	1
1.2.2	$r$	-1

# Monotonic replacement

Notation:  $A[B]_{\pi}$ :

- ▶ formula  $A$  with the subformula  $B$  at the position  $\pi$ ;
- ▶ formula  $A$  with the subformula at the position  $\pi$  replaced by  $B$ .

## Lemma (Monotonic Replacement)

*Let  $A, B, B'$  be formulas,  $I$  be an interpretation, and  $I \models B \rightarrow B'$ . If  $pol(A, \pi) = 1$ , then  $I \models A[B]_{\pi} \rightarrow A[B']_{\pi}$ . Likewise, if  $pol(A, \pi) = -1$ , then  $I \models A[B']_{\pi} \rightarrow A[B]_{\pi}$ .*

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# Optimised Definitional Clause Form Transformation

If we introduce a name for a subformula and the occurrence of the subformula is positive or negative, then an **implication is used instead of equivalence**.

See Chapter 5 for a precise description!

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# Example

	subformula	definition	clauses
			$n_1$
$n_1$	$\neg((p \rightarrow q) \wedge (p \wedge q \rightarrow r) \rightarrow (p \rightarrow r))$	$n_1 \rightarrow \neg n_2$	$\neg n_1 \vee \neg n_2$ $n_1 \vee n_2$
$n_2$	$(p \rightarrow q) \wedge (p \wedge q \rightarrow r) \rightarrow (p \rightarrow r)$	$(n_3 \rightarrow n_7) \rightarrow n_2$	$\neg n_2 \vee \neg n_3 \vee n_7$ $n_3 \vee n_2$ $\neg n_7 \vee n_2$
$n_3$	$(p \rightarrow q) \wedge (p \wedge q \rightarrow r)$	$n_3 \rightarrow (n_4 \wedge n_5)$	$\neg n_3 \vee n_4$ $\neg n_3 \vee n_5$ $\neg n_4 \vee \neg n_5 \vee n_3$
$n_4$	$p \rightarrow q$	$n_4 \rightarrow (p \rightarrow q)$	$\neg n_4 \vee \neg p \vee q$ $p \vee n_4$ $\neg q \vee n_4$
$n_5$	$p \wedge q \rightarrow r$	$n_5 \rightarrow (n_6 \rightarrow r)$	$\neg n_5 \vee \neg n_6 \vee r$ $n_6 \vee n_5$ $\neg r \vee n_5$
$n_6$	$p \wedge q$	$n_6 \rightarrow (p \wedge q)$	$\neg n_6 \vee p$ $\neg n_6 \vee q$ $\neg p \vee \neg q \vee n_6$
$n_7$	$p \rightarrow r$	$(p \rightarrow r) \rightarrow n_7$	$\neg n_7 \vee \neg p \vee r$ $p \vee n_7$ $\neg r \vee n_7$

# End of Lecture 5

Slides for lecture 5 ended here . . .